

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**

**This Page Blank (uspto)**

(51) International Patent Classification <sup>6</sup> : <b>A61M</b>		<b>A2</b>	(11) International Publication Number: <b>WO 96/39205</b>
			(43) International Publication Date: 12 December 1996 (12.12.96)
(21) International Application Number: <b>PCT/IB96/00685</b>		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: <b>5 June 1996 (05.06.96)</b>			
(30) Priority Data: 08/462,347      5 June 1995 (05.06.95) <b>US</b>		<b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>	
(71) Applicant: EP TECHNOLOGIES, INC. [US/US]; 350 Potrero Avenue, Sunnyvale, CA 94086 (US).			
(72) Inventors: McGEE, David; 730 East Evelyn Avenue No. 226, Sunnyvale, CA 94086 (US). AHMAD, Jamil; 2665 Somerset Park Circle, San Jose, CA 95132 (US). BOURNE, Thomas, M.; 2650 California Street No. 96, Mountain View, CA 94040 (US). IDAOMI, Michael; 1154 Olive Avenue, Sunnyvale, CA 94086 (US). VELILLA, Simplicio; 1255 Coronado Drive No. 6, Sunnyvale, CA 94086 (US). SWANSON, David, K.; 877 Heatherstone Way, Mountain View, CA 94040 (US).			
(74) Agents: RYAN, Daniel, D. et al.; 633 West Wisconsin Avenue, Milwaukee, WI 53203 (US).			
(54) Title: <b>TRANSITION SLEEVE ASSEMBLY FOR CATHETERS</b>			
(57) Abstract			
<p>A sleeve assembly for use in the transition region of a catheter between a relatively stiff catheter body and a less stiff distal catheter region mediates the difference in stiffness between these two catheter regions.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LJ	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG--	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## TRANSITION SLEEVE ASSEMBLY FOR CATHETERS

### Field of the Invention

5       The invention generally relates to catheters. In a more specific sense, the invention relates to catheters that can be steered and manipulated within interior regions of the body from a location outside the body.

### Background of the Invention

10       Physicians make widespread use of catheters today in medical procedures to gain access into interior regions of the body. In its important that the physician can control carefully and precisely the movement of the catheter within the body.

15       The need for careful and precise control over the catheter is especially critical during procedures that ablate tissue within the heart. These procedures are becoming more widespread for treating cardiac rhythm disturbances.

20       During these procedures, a physician steers a catheter body through a main vein or artery (which is typically the femoral arterial) into the interior region of the heart that is to be treated. The physician then further manipulates a steering mechanism to place the electrode carried on the distal end  
25       of the catheter body into direct contact with the tissue that is to be ablated. The physician transmits radio frequency energy from the electrode tip to ablate the tissue and form a lesion.

30       Catheters for cardiac ablation and similar procedures involving inter-vascular access require a

catheter body with requisite flexibility yet stiffness to maneuver through sometimes tortuous vascular paths. At the same time, these catheters require a distal end with the requisite flexibility without stiffness to be accurately steered into contact with a local tissue region. These two requirements, one for stiffness over flexibility to meet a first important set of criteria, and another for flexibility over stiffness to meet a second, equally important set of criteria, create a transition region in the catheter where the relatively stiff catheter body joins the less stiff and more flexible catheter distal end.

#### Summary of the Invention

The invention provides a sleeve assembly to graduate the transition in stiffness between a relatively stiff catheter body and a less stiff distal catheter region.

In a preferred embodiment, the transition sleeve assembly includes a layered body spanning the connection between the catheter body and the distal region. A first layer is attached to the catheter body. A second layer overlies the first layer and also overlaps a portion of the distal region.

In a preferred embodiment, a junction joins a first sleeve that surrounds the catheter body to a second sleeve that surrounds the distal region. In this embodiment, the transition sleeve assembly underlies the junction. In this embodiment, the transition sleeve assembly also includes a mesh of increased tensile strength that underlies the junction to strengthen it.

The apparatus that embody the features of the invention are well suited for use in the field of cardiac ablation. They also are applicable for use in other applications requiring inter-vascular access.

For example, the various aspects of the invention have application in procedures for accessing tissue in the prostate, brain, gall bladder, uterus, and other regions of the body.

5 Other features and advantages of the inventions are set forth in the following Description and Drawings, as well as in the appended claims.

Brief Description of the Drawings

10 Fig. 1 is a perspective view of a catheter that embodies the features of the invention;

Fig. 2 is an exploded view of the electrode tip assembly of the catheter;

15 Fig. 3A is an enlarged perspective view of the transition sleeve assembly located between the catheter body assembly and electrode tip assembly of the catheter, which embodies the features of the invention;

20 Fig. 3B is a side section view of the transition sleeve assembly shown in Fig. 3A, taken generally along line 3B-3B in Fig. 1; and

Fig. 4 an enlarged perspective view of an alternative embodiment for the transition sleeve, which embodies the features of the invention.

25 The invention may be embodied in several forms without departing from its spirit or essential characteristics. The scope of the invention is defined in the appended claims, rather than in the specific description preceding them. All embodiments that fall within the meaning and range of equivalency  
30 of the claims are therefore intended to be embraced by the claims.

Description of the Preferred Embodiments

35 Fig. 1 shows the assembly of a steerable catheter 10 that embodies the features of the invention. As there shown, the catheter 10 includes three

main parts or assemblies: the handle assembly 12, the catheter body assembly 14, and the electrode tip assembly 16.

5 The catheter 10 can be used in many different environments. This specification will describe the catheter 10 as used for diagnostic or therapeutic purposes in the interior regions of the heart.

10 When used for this purpose, a physician grips the handle assembly 12 to maneuver the catheter body assembly 14 through a main vein or artery (which is typically the femoral arterial) into the interior region of the heart that is to be treated. The physician then further operates a steering mechanism 18 on the handle assembly 12 to selectively flex the  
15 electrode tip assembly 16 until desired contact is made with the tissue that is to be diagnosed or ablated.

As Fig. 1 shows, the handle assembly 12 includes a housing 20 that encloses a steering  
20 mechanism 18. The steering mechanism 18 includes a rotating cam wheel (not shown) within the housing 20 coupled to an external steering lever 34. The proximal ends of right and left catheter steering wires 56 and 58 (which are shown in Fig. 2) are fastened to the cam  
25 wheel. The steering wires 56 and 58 extend through the catheter body assembly 14. The distal ends of the steering wires 56 and 58 are attached to the electrode tip assembly 16. Rotating the cam wheel to the left and right bends the electrode tip assembly 16,  
30 respectively, left and right.

Further details of the steering mechanism 18 are not essential to the invention, but can be found in U.S. Patents 5,395,327 and 5,358,478, which are incorporated herein by reference.

35 As Fig. 2 shows, the catheter body assembly



14 includes a flexible shaft 62 attached to the handle assembly 12. The flexible shaft 62 encloses an interior bore 64. The steering wires 56 and 58 pass through the interior bore 64.

5           The shaft 62 is required to be flexible so that it can be resiliently bent without breaking or kinking. Still, the shaft 62 must possess a degree of stiffness for strength and to permit maneuvering and twisting during vascular access. In the embodiment  
10 shown in Figs. 1 and 2, the shaft 62 comprises a length of stainless steel coiled into a flexible spring enclosing the interior bore 64. A braided sheath 66 of plastic material encloses the shaft 62.

          Alternatively, for greater torque  
15 transmission, the shaft 62 can comprises a slotted, stainless steel tube, as disclosed, for example, in U.S. Patent No. 5,315,996. The shaft 62 can also comprise a length of composite, high torque plastic tubing, such as made from PEBAX™ material.

20           The catheter body assembly 14 can be made in various lengths. In the illustrated, the catheter body assembly 14 is about 100 cm in length.

          As Fig. 2 shows, the electrode tip assembly  
16 includes a bendable main support wire 78 having  
25 left and right faces 78L and 78R. In the illustrated embodiment, the main support wire 78 is made of stainless steel flat wire stock in an elongated shape about .035 inch wide and about .005 inch thick. The main support wire 78 is about 3 inches in total  
30 length. Further details of the construction of the main support wire 78 are not essential to the invention, but can be found in U.S. Patent 5,363,861, which is incorporated herein by reference.

          The opposite ends of the main support wire  
35 78 are cut away to form stepped shoulders 80 and 82.

One stepped shoulder 80 fits within the distal end of the flexible catheter body shaft 62 to append the electrode tip assembly 16 to the guide tube assembly 14. As Fig. 3 shows, the distal end of the left steering wire 58 is soldered to the left face 78L of the main support wire 78. The distal end of the right steering wire 56 is soldered to the right face 78R of the main support wire 78.

In the illustrated embodiment (see Fig. 2), the stiffness of the main support wire 78 is not uniform, but varies along its length. A stiffening spring assembly 90 stiffens the center support near the distal end of the guide tube shaft 62. The stiffening spring assembly 90 includes two leaf springs 92 that sandwich the main support wire 78 between them. Further details of this assembly are found in the above cited U. S. Patent 5,363,861.

In the illustrated embodiment, the distal end of the electrode tip assembly 16 carries an ablation tip electrode 96 and three ring electrodes 98. Interior conducting wires 100 are connected to the tip electrode 96 and the three ring electrodes 98. The conducting wires 100 extend along the main support wire 78, through the interior bore of the catheter body shaft 62, and into the handle housing 20 to join the coaxial cable 48 that extends from the rear of the housing 20.

The coaxial cable 48 ends with plugs 102. The plugs 102 connect with appropriate conventional catheter control equipment (not shown). The conducting wires 100 transfer electrical current from the ring electrodes 98 indicative of electrical activity within the heart. The conducting wires 100 also transfer radio frequency energy to the tip electrode 96 to carry out ablation procedures within the heart.

A reinforcing sleeve assembly 104 attaches the electrode tip assembly 16 to the catheter body assembly 14. In the illustrated embodiment, the reinforcing sleeve assembly 104 includes an  
5 reinforcing fabric 116 Kevlar 49 Yarn (DuPont) encased within heat shrink medical grade TFE Teflon™ plastic tubing, which is shrunk in place about the main support wire 78 and distal end of the catheter body assembly 14. Further details of the reinforcing sleeve  
10 assembly are not essential to the invention, but are found in U.S. Patent 5,257,451, which is incorporated herein by reference.

The reinforcing sleeve assembly 104 is flexible enough to accommodate the bending movement  
15 desired for the electrode tip assembly 16. The reinforcing sleeve assembly 104 provides added strength and resistance against wear and tear during repeated bending operations. The reinforcing sleeve assembly 104 also holds the steering wires 56 and 58  
20 and conducting wires 100 in close intimate contact against the main support wire 78. The intimate contact prevents kinking and chafing of the steering wires 56 and 58 and conducting wires 100 during bending operations.

25 A distal tube 120 of flexible urethane material or the like surrounds the electrode tip assembly 16. The tip electrode 96 and ring electrodes 98 are attached to the conducting wires 100 and joined to the distal tube 120 by conventional methods to  
30 complete the electrode tip assembly 16.

The proximal end of the distal tube 120 and the distal end of the braided tube 66 abut about a region 150 (see Figs. 3A and 3B) of the catheter body shaft 62 about 2 inches before the reinforcing sleeve  
35 assembly 104. A butt bond 152 joins the tube ends

together in this region 150. The butt bond 152 can be formed in various ways.

For example, the butt bond 152 can be formed by use of adhesives. The butt bond 152 can also be  
5 formed is be formed by melting the tube ends together by heat, sonic, or radio frequency energy.

The physical characteristics of the catheter body assembly 14 and associated braided tube 66 lend stiffness and strength to transmit linear and twisting  
10 motions along the guide tube assembly 14 to the electrode tip assembly 16. On the other hand, the physical characteristics of the electrode tip assembly 16 and associated tube 120 accommodate the side to side flexing of the electrode tip assembly 16 relative to  
15 the catheter body assembly 14. A step discontinuity in stiffness occurs from the braided tube 66-side of the butt bond 152 to the distal tube 120-side of the butt bond 152. The butt bond 152 itself also creates additional stiffness in this region 150. This step  
20 discontinuity in stiffness can lead to disproportionate flexure of the electrode tip assembly 16 when the distal tip assembly 16 encounters close vascular spaces or pronounced curvatures, such as in the region of the aortic arch. The step discontinuity  
25 in stiffness causes a step discontinuity in flexure. Instead of flexing in proportion to the catheter body assembly 14 to follow the shape of the surrounding vascular space, the electrode tip assembly 16 is observed to sometimes bend disproportionately and jam  
30 against the vascular walls.

For this reason, a transition sleeve assembly 154 (see Figs. 3A and 3B) underlies the tubes 66 and 120 in the butt bond region 150. The transition sleeve assembly 154 extends from the butt  
35 bond region 150 to a portion of the main support wire

78, which in the illustrated embodiment is itself surrounded by the reinforcing sleeve assembly 104. The transition sleeve assembly 154 stiffens the distal tube 120 extending from the butt bond region 150, created a graduated transition in stiffness between the two assemblies 14 and 16. The transition sleeve assembly 154 causes the distal tube 120 to be stiffer than it would otherwise be in a region extending distally from the butt bond 152, but still less stiff than the braided tube 66.

In the illustrated embodiment, see Fig. 3, the transition sleeve assembly 154 comprises layered lengths of polymeric tubing that accommodate flexure without kinking or failing, but which add to the stiffness of the distal tube 120 they underlie. Various types of polymeric tubing can be used, according to the transitional stiffness desired.

In the preferred embodiment, the assembly 154 includes a first length 156 of polyester or Teflon™ plastic heat-shrink tubing that encircles a the distal region of the catheter body assembly 14 about the shaft 62. The first length 156 underlies both the braided tube 66 and the distal tube 120 proximally and distally of the butt bond region 152.

The assembly 154 also includes a second length 158 of polyester or Teflon™ plastic heat-shrink tubing. The second length 158 overlies the first tubing length 156 along the same distal region of the catheter body assembly 14, creating in this region a multiple layer structure. The second length 158 also extends distally farther than first length 154 to overlap a portion of the reinforcing sleeve assembly 104 at the proximal end of the electrode tip assembly 16. The overlap is designated by numeral 157 in Figs. 3A and 3B.

The first and second lengths 156 and 158 extend proximally to just beyond the butt bond region 150. The proximal extremity of the first length 156 extends somewhat farther than the proximal extremity of the second length 158.

The added stiffness given by the layered assembly 154 provides a graduated transition in stiffness to what would otherwise be a discontinuity in stiffness between the relatively stiff braided tube 66 and the relatively flexible distal tube 120. The layered assembly 154 imparts to the overall electrode tip assembly 16 resistance to extreme flexure and "jamming" in close vascular spaces or where pronounced curvatures are encountered.

In the illustrated and preferred embodiment (see Figs. 3A and 3B), a sleeve 160 made of a flexible material that has a tensile strength greater than the distal tube 120 or braided tube 66 preferably directly underlies the butt bond 152. A non-rigid metal material like 304 Stainless Steel Mesh can be used. Alternatively, a woven non-rigid fabric material like Kevlar 49 Yarn can be used. Alternatively, a non-rigid thermal plastic material can be used. The material selected depends upon the tensile strength and degree of flexibility desired. Further details of strengthening an adhesive butt bond by providing an underlying non-rigid metal or woven fabric or thermal plastic material are disclosed in copending U.S. Patent Application Serial No. 08/402,732, filed March 13, 1995 and entitled "Flexible Bond for Sleeves Enclosing a Bendable Electrode Tip Assembly." Further details about strengthening a thermal butt bond by melting the ends of the tubes 66 and 120 about an underlying temperature resistant sleeve, preferably having imbedded spirally wound metallic wire, are

disclosed in copending U.S. Patent Application Serial No. 08/271,186, filed July 7, 1994 and entitled "Catheter Component Bond and Method."

5 Oppositely spaced collars or dams 162 secure the sleeve 160 to the transition sleeve assembly 154. Adhesive can be used to hold the collars 162 in place. However, in the illustrated and preferred embodiment, the collars 162 are made of a heat-shrink plastic material, like polyester.

10 The dams 162 provide a tri-layer stiffness region 168 (see Fig. 3B) underlying the butt bond 152 and distal tube 120. Moving distally of the butt bond 152, the tri-layer stiffness region 168 gives way to a double layer stiffness region 170 at the distal end  
15 of the catheter tube assembly 14, underlying the distal tube 120. Moving still more distally, the double layer stiffness region 170 gives way to a single layer stiffness region 172 at the proximal end of the electrode tip assembly 16, underlying the  
20 distal tube 120. These successive, varying stiffness regions 168, 170, and 172 provide a graduated transition in stiffness, creating a gradual diminishing bending stiffness, along the distal tube 120 extending distally from the butt bond 152 to a  
25 region spaced from the butt bond 152.

The dams 162 hold adhesive material 166 (see Fig. 3B) to strengthen the butt bond 152.

Instead of comprising layered heat-shrink tubing, the transition sleeve assembly 154 could be a  
30 composite of two or more dissimilar materials, or a randomly arranged matrix of dissimilar materials. For example, the assembly 154 could comprise a fiber-polymer composite of Kevlar™ and a plastic polymer, like the reinforcing sleeve assembly 104.  
35 Alternatively, the assembly 154 could comprise a

metal-plastic polymer composition.

For example, these implementations could comprise Kevlar™ or wire windings sandwiched in one or more layers of polymer materials. The windings could  
5 uniform or variable pitch, and cross-wound or counter-wound or step-wound or single-directional, according to the stiffness properties desired. Composite assemblies could be made to have the strength to eliminate the need for the mesh sleeve 160 beneath the  
10 butt bond 152.

In another alternative embodiment (see Fig. 4), the transition sleeve assembly 154 can comprise a preshaped sleeve 164 that achieves a desired stiffness profile along its length. For example (as Fig. 4  
15 shows), the sleeve 164 is tapered from its proximal end to its distal end to provide a gradual diminishing bending stiffness.

Various features of the invention are set forth in the following claims.



**We Claim:**

1. A catheter comprising  
a first flexible body having a first stiffness, the body having a distal region,  
a second flexible body having a proximal  
5 region attached to the distal region of the first flexible body, the second flexible body having a second stiffness different than the first stiffness creating a step discontinuity in stiffness between the first and second bodies, and  
10 a transition assembly in the distal region of the first flexible body and the proximal region of the second flexible body to create a graduated transition in stiffness between the first and second bodies.
2. A catheter according to claim 1 wherein the second stiffness is less than the first stiffness.
3. A catheter according to claim 1 wherein the second flexible body carries at least one electrode.
4. A catheter according to claim 1 wherein the second flexible body carries at least one radio frequency transmitting ablation electrode.
5. A catheter according to claim 1 and further including an element to flex the second flexible element relative to the first flexible element.
6. A catheter comprising  
a first flexible body having a distal  
region,  
a first flexible sleeve enclosing the first  
5 flexible body, the first sleeve having a first stiffness,

a second flexible body having a proximal region attached to the distal region of the first flexible body,

10 a second flexible sleeve enclosing the second flexible body, the second flexible sleeve being joined to the first flexible sleeve adjacent the distal region of the first flexible body, thereby forming a junction, the second flexible sleeve having  
15 a second stiffness different than the first stiffness creating a step discontinuity in stiffness between the first and second bodies, and

a transition assembly in the distal region of the first flexible body and the proximal region of  
20 the second flexible body and underlying the junction between the first and second flexible sleeves to create a graduated transition in stiffness between the first and second flexible sleeves.

7. A catheter according to claim 6 wherein the second flexible body carries at least one electrode.

8. A catheter according to claim 6 wherein the second flexible body carries at least one radio frequency transitting ablation electrode.

9. A catheter according to claim 6 and further including an element to flex the second flexible element relative to the first flexible element.

10. A catheter comprising a first flexible body having a distal region,

5 a first flexible sleeve enclosing the first flexible body, the first sleeve having a first stiffness,

a second flexible body having a proximal

region attached to the distal region of the first flexible body,

10           a second flexible sleeve enclosing the second flexible body, the second flexible sleeve being joined to the first flexible sleeve adjacent the distal region of the first flexible body, thereby forming a junction, the second flexible sleeve having  
15           a second stiffness different than the first stiffness creating a step discontinuity in stiffness between the first and second bodies,

            a transition assembly in the distal region of the first flexible body and the proximal region of  
20           the second flexible body and underlying the junction between the first and second flexible sleeves to create a graduated transition in stiffness between the first and second flexible sleeves, and

            a flexible material having a tensile  
25           strength greater than the first and second flexible sleeves underlying the junction.

11. A catheter according to claim 10 wherein the second flexible body carries at least one electrode.

12. A catheter according to claim 10 wherein the second flexible body carries at least one radio frequency transitting ablation electrode.

13. A catheter according to claim 10 and further including an element to flex the second flexible element relative to the first flexible element.

14. A catheter according to claim 6 or 10 wherein the second stiffness is less than the first stiffness.

15. A catheter comprising a first flexible body having a first

stiffness, the body having a distal region,

5 a second flexible body having a proximal region attached to the distal region of the first flexible body at a junction, the second flexible body having a second stiffness less than the first stiffness creating a step discontinuity in stiffness between the first and second bodies, and

10 a transition assembly in distal region of the first flexible body and the proximal region of the second flexible body to form a graduated transition in stiffness between the first and second bodies by increasing the stiffness of the second flexible body  
15 over a region extending distally from the junction, the transition assembly comprising a first tube over the distal region of the first flexible body and a second tube extending over the first tube and extending farther to overlap a portion of the proximal  
20 region of the second flexible body.

16. A catheter according to claim 15 wherein the second flexible body carries at least one electrode.

17. A catheter according to claim 15 wherein the second flexible body carries at least one radio frequency transitting ablation electrode.

18. A catheter according to claim 15 and further including an element to flex the second flexible element relative to the first flexible element.

19. A catheter comprising a first flexible body having a distal region,

5 a first flexible sleeve enclosing the first flexible body, the first sleeve having a first stiffness,

a second flexible body having a proximal region attached to the distal region of the first flexible body,

10 a second flexible sleeve enclosing the second flexible body, the second flexible sleeve being joined to the first flexible sleeve adjacent the distal region of the first flexible body, thereby forming a junction, the second flexible sleeve having  
15 a second stiffness less than the first stiffness creating a step discontinuity in stiffness between the first and second sleeves, and

a transition assembly in the distal region of the first flexible body and the proximal region of  
20 the second flexible body and underlying the junction between the first and second flexible sleeves to create a graduated transition in stiffness between the first and second flexible sleeves by increasing the stiffness of the second flexible sleeve over a region  
25 extending distally from the junction, the transition assembly comprising a first tube underlying a portion of the first and second flexible sleeves at the junction and enclosing the distal region of the first flexible body, a second tube over the first tube and  
30 overlapping a portion of the proximal end of the second flexible body, both the first and second tubes underlying the junction.

20. A catheter according to claim 19  
and further including a flexible material having a tensile strength greater than the first and second flexible sleeves attached to the second tube  
5 and underlying the junction.

21. A catheter according to claim 19  
wherein the second flexible body carries at least one electrode.

22. A catheter according to claim 19

wherein the second flexible body carries at least one radio frequency transitting ablation electrode.

23. A catheter according to claim 19

and further including an element to flex the second flexible element relative to the first flexible element.

24. A method of manufacturing a catheter comprising the steps of

providing a first flexible body having a first stiffness, the body having a distal region,

5 providing a second flexible body having a second stiffness less than the first stiffness,

joining the proximal region of the second flexible body to the distal region of the first flexible body, and

10 providing a graduated transition in stiffness between the first and second bodies by attaching a transition assembly to the distal region of the first flexible body and the proximal region of the second flexible body to increase the stiffness of  
15 the second flexible body in the region where the first and second flexible bodies join.

25. A method for manufacturing a catheter comprising the steps of

providing a first flexible body having a distal region,

5 providing a second flexible body having a proximal region,

joining the distal region of the first flexible body to the proximal region of the second flexible body,

10 enclosing the first flexible body with a first flexible sleeve having a first stiffness,

enclosing the second flexible body in a

second flexible sleeve having a second stiffness less than the first stiffness,

15           joining the second flexible sleeve to the first flexible sleeve adjacent the distal region of the first flexible body, thereby forming a junction, and

20           providing a graduated transition in stiffness between the first and second sleeves at the junction by attaching a transition assembly to the distal region of the first flexible body and the proximal region of the second flexible body, the transition assembly underlying the junction.

26. A method according to claim 25

          and further including the step of attaching to the transition sleeve assembly beneath the junction a flexible material having a tensile strength greater  
5           than the first and second flexible sleeves.

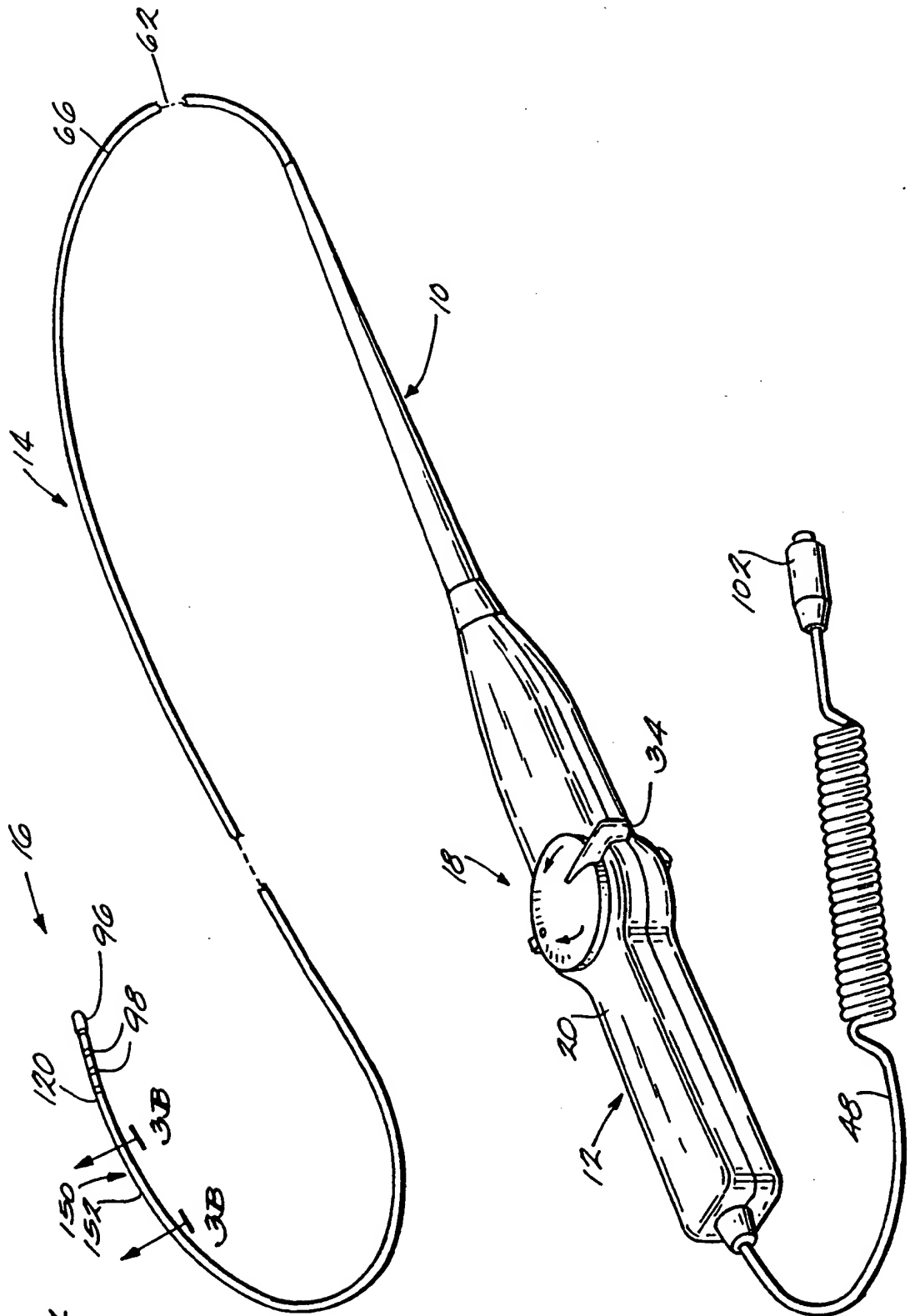
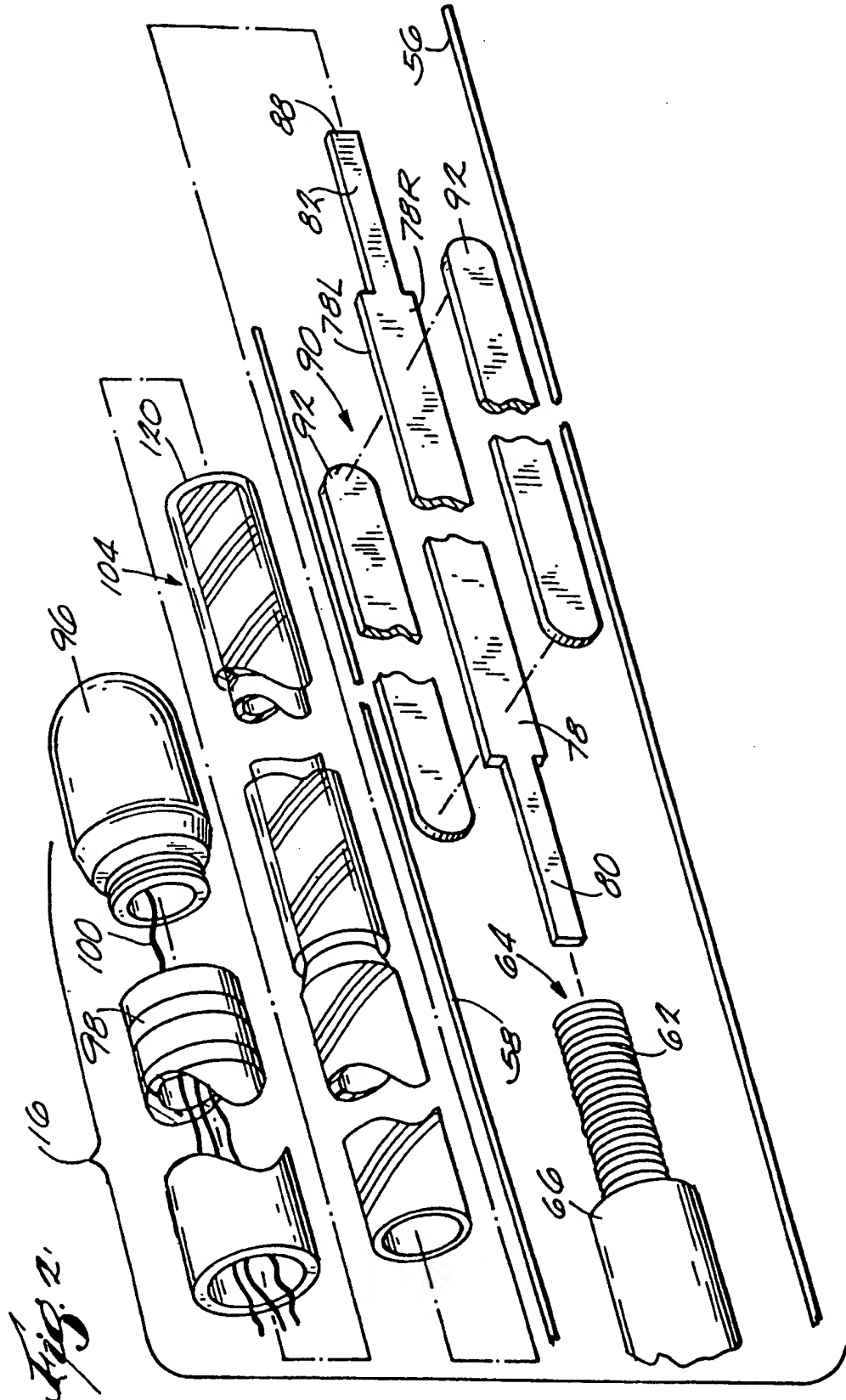


Fig. 1





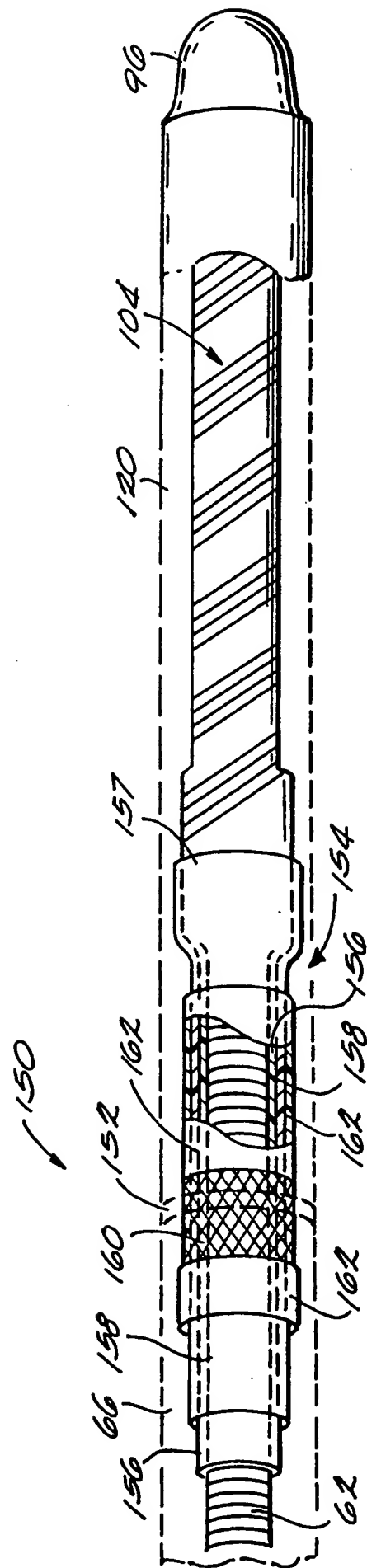


Fig. 3A

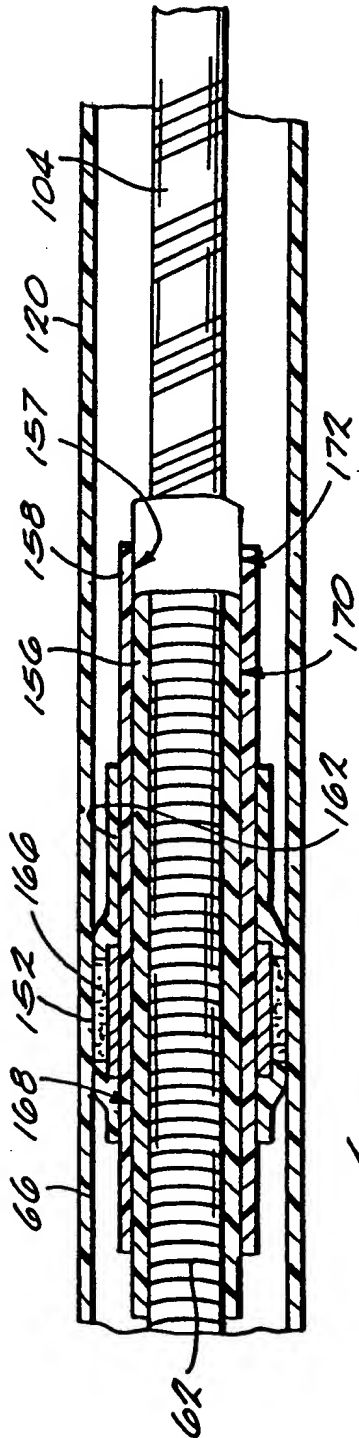


Fig. 3B

4/4

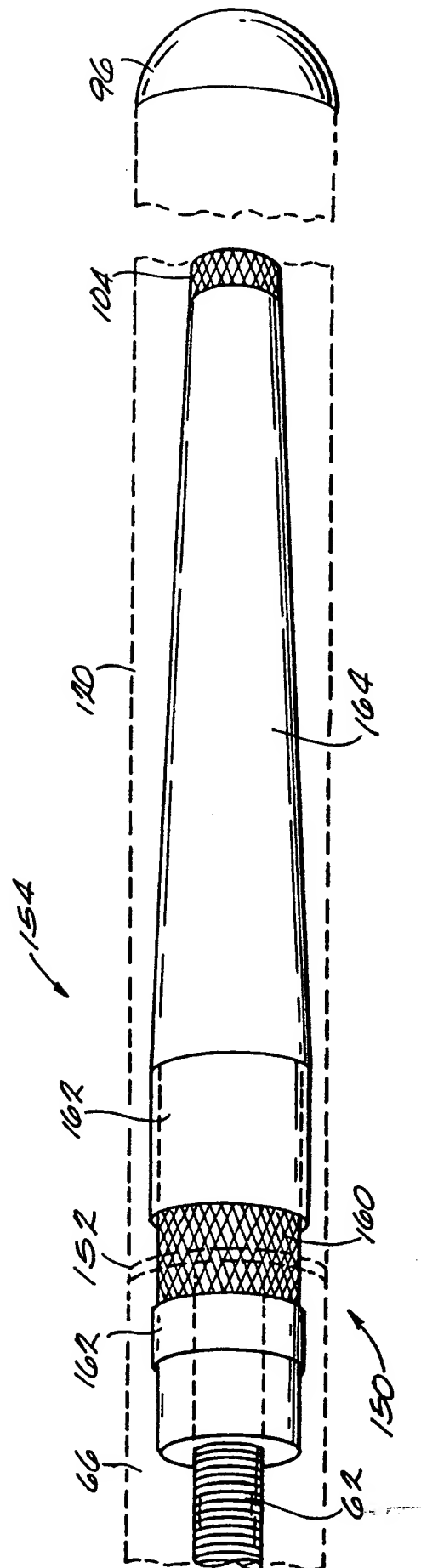


Fig. 4

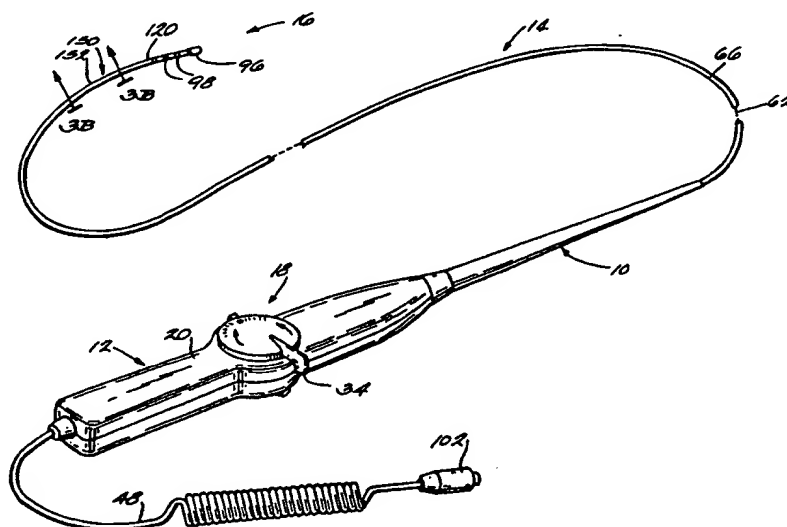
**This Page Blank (uspto)**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61N 1/05, H01R 43/00		A3	(11) International Publication Number: WO 96/39205
			(43) International Publication Date: 12 December 1996 (12.12.96)
(21) International Application Number: PCT/IB96/00685		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 5 June 1996 (05.06.96)			
(30) Priority Data: 08/462,347 5 June 1995 (05.06.95) US		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant: EP TECHNOLOGIES, INC. [US/US]; 350 Potrero Avenue, Sunnyvale, CA 94086 (US).		(88) Date of publication of the international search report: 30 January 1997 (30.01.97)	
(72) Inventors: McGEE, David; 730 East Evelyn Avenue No. 226, Sunnyvale, CA 94086 (US). AHMAD, Jamil; 2665 Somerset Park Circle, San Jose, CA 95132 (US). BOURNE, Thomas, M.; 2650 California Street No. 96, Mountain View, CA 94040 (US). IDAOMI, Michael; 1154 Olive Avenue, Sunnyvale, CA 94086 (US). VELILLA, Simplicio; 1255 Coronado Drive No. 6, Sunnyvale, CA 94086 (US). SWANSON, David, K.; 877 Heatherstone Way, Mountain View, CA 94040 (US).			
(74) Agents: RYAN, Daniel, D. et al.; 633 West Wisconsin Avenue, Milwaukee, WI 53203 (US).			

(54) Title: TRANSITION SLEEVE ASSEMBLY FOR CATHETERS



## (57) Abstract

This invention is a sleeve assembly (154) for use in the transition region of a catheter (10) between a relatively stiff catheter body (14) and a less stiff distal catheter region (16), that mediates the difference in stiffness between these two catheter regions.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB96/00685

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :A61N 1/05; H01R 43/00

US CL :29/825; 606/41; 607/122

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 29/825; 606/41; 607/122

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,199,939 A (DAKE et al) 06 April 1993, col.3, lines 13-44; and col.4, line 17 to col. 5, line 17.	1, 2, 24 ----- 1-5
Y	US 5,257,451 A (EDWARDS et al) 02 November 1993, col.2, line 41 to col. 7, line 58.	1-5
X,P --- Y,P	US 5,517,989 A (FRISBIE et al) 21 May 1996, col. 4, line 32 to col. 6, line 58.	1-4, 24 ----- 1-5

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

18 OCTOBER 1996

Date of mailing of the international search report

05 DEC 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3590

Authorized officer

LEE S. COHEN

Telephone No. (703) 308-2998

**This Page Blank (uspto)**